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DOCKET NO.: MSFT-1750 / 302726.01

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

J. Patrick Thompson, Kim Cameron,

Walter R. Smith and Anil K. Nori

Application No.: 10/646,940

Filing Date: August 21, 2003

Confirmation No.: 1753

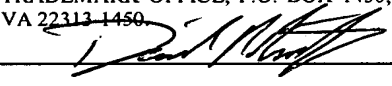
Group Art Unit: 2168

Examiner: Cheyne D. Ly

For: **SYSTEMS AND METHODS FOR THE IMPLEMENTATION OF A BASE
SCHEMA FOR ORGANIZING UNITS OF INFORMATION MANAGEABLE
BY A HARDWARE/SOFTWARE INTERFACE SYSTEM**

DATE OF DEPOSIT: July 6, 2007

I HEREBY CERTIFY THAT THIS PAPER IS BEING
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TYPED NAME: David M. Platz
REGISTRATION NO.: 60,013

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.
- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:
- ☐ Certification in Accordance with § 1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.
- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).
- ☒ Copies of reference numbers 51 - 60 listed on the attached Form PTO-1449 are enclosed herewith.
- ☒ Copies of reference numbers 1 - 50 on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(ii).
- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and

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PATENT


Trademark Office in patent application number , filed for
which a claim for priority under 35 U.S.C. § 120 has been made in the
instant application.

☐ The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: July 6, 2007



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**Form PTO-1449 Modified**

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Docket No.
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U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	1	5,774,717	06/30/98	Porcaro et al.	707	202
	2	5,806,074	09/08/98	Souder et al.	707	201
	3	5,842,213	11/24/98	Odom et al.	707	100
	4	5,937,402	08/10/99	Pandit	707	4
	5	6,006,234	12/21/99	Govindarajan et al.	707	103R
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	7	6,240,414	05/29/01	Beizer et al.	707	8
	8	6,317,754	11/13/01	Peng	707	203
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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office				Docket No. MSFT-1750 / 302726.01		Application No. 10/646,940	
				Applicant J. Patrick Thompson, Kim Cameron, Walter R. Smith and Anil K. Nori			
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	49	2004/0268240	12/30/04	Vincent	715	513
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					YES	NO
	51	EP 0 974 895	01/26/00	EPO		

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	54	Bernstein, P. et al., "Microsoft Repository Version 2 & The Open Information Model," <i>Microsoft Paper pub. In Information Systems</i> , 1999 , 22(4)	
	55	Gordon, A.D. et al., "Typing a Multi-Language Intermediate Code," <i>POPL '01, London, UK</i> , January 2001 , 257	
	56	Guy, R.G. et al., "Implementation of the Ficus Replicated File System", <i>Proceedings of the Summer USENIX Conference</i> , June 1990, 63-71, XP 002234187	
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	58	Singer, J., "JVM versus CLR: A Comparative Study," <i>PPPJ 2003, Kilkenny City, Ireland</i> , June 16-18, 2003 , 167	
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	60	Wang et al., paper on (OS) application programming interfaces (APIs), <i>IEEE Communications Magazine</i> , October 2001	

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